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TRANSMITTAL FORM		Application Number		10/585,427 ✓				
(to be used for all correspondence after initial filing)		Filing Date		July 07, 2006				
		Intl. Filing Date		July 29, 2004				
		First Named Inventor		Jenifer H. Galante				
		Examiner Name		To be Determined				
Total Number of Pages in This Submission		131		Attorney Docket Number		15409US02		
ENCLOSURES (check all that apply)								
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD		<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input checked="" type="checkbox"/> Information Disclosure Statement with Form PTO SB/08a (in duplicate- attaching the following: a) References B1-B4 and C1-C4 <input checked="" type="checkbox"/> Return-Receipt Postcard <input type="checkbox"/> Other Enclosure(s) (please identify below):				
		Remarks						
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT								
Firm or Individual Name		McAndrews Held & Malloy, Ltd.						
Name (Print/type)		Troy A. Groetken, Esq.		Registration No. (Attorney/Agent)		46,442		
Signature					Date:		January 22, 2007	
EXPRESS MAIL DEPOSIT								
"Express Mail" mailing label number : EV 729164225 US								
Date of Deposit: January 22, 2007								



ATTORNEY DOCKET NO. 15409US02

**UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Attorney Docket No. 15409US02)

In the Application of:

Galante, Jenifer H., *et al.*

Serial No. 10/585,427

Filed: July 07, 2006

International Filing Date: July 29, 2004

For: "Process for Enzymatic  
Production of Triglycerides"

**CERTIFICATE OF EXPRESS MAILING**

Express Label No. EV 729164225 US

Date: January 22, 2007

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.97-1.98 and in compliance with the duty of disclosure set forth in 37 C.F.R. § 1.56, it is respectfully requested that the following references be considered in the examination of the above-identified patent application:

<u>U.S. PATENT NO.</u>	<u>DATE</u>	<u>INVENTORS</u>
6,897,327	05-24-2005	Rongione, <i>et al.</i>
6,420,577	07-16-2002	Reaney, <i>et al.</i>
6,361,980	03-26-2002	Sugiura, <i>et al.</i>
6,136,985	10-24-2000	J. R. Millis
4,381,264	04-26-1983	A. Struve

<u>FOREIGN PATENT APPLICATION NO.</u>	<u>DATE</u>	<u>INVENTOR(S)</u>
WO 00/18944	04-06-2000	G. Haraldsson, <i>et al.</i>
WO 99/32105	07-01-1999	J. Millis
WO 91/16443	10-31-1991	G. Haraldsson, <i>et al.</i>
GB 1,577,933	10-29-1980	M. Coleman, <i>et al.</i>

**OTHER**

Study of the Lipase-Catalyzed Synthesis of Triolein", Biotechnology and Bioengineering, Volume 41, No. 11 (May 1993), pp. 1021-1026, John Wiley & Sons, Inc.

FRANCOISE ERGAN, *ET AL.*, "Effect of Lipase Specificity on Triglyceride Synthesis", Biotechnology Letters, Volume 13, No. 1 (1991), pp. 19-24.

F. ERGAN, *ET AL.*, "Production of Glycerides from Glycerol and Fatty Acid by Immobilized Lipases in Non-aqueous Media", Biotechnology and Bioengineering, Volume 35, (January 1990), pp. 195-200, John Wiley & Sons, Inc.

F. ERGAN, *ET AL.*, "Solvent Free Triglyceride Synthesis Using Lipozyme™ IM-20", Biotechnology Letters, Volume 10, No. 9, (1988) pp. 629-634.

The above-identified reference(s) are listed on the attached PTO Form SB/08a. Copies of the foreign references and non patent literature documents are also enclosed herewith. Consideration of the above-identified references in the examination of the present patent application is respectfully requested.

This submission is in no way intended as an admission that the above-cited references constitute prior art. Applicants expressly retain the right to take any actions necessary to remove the above-cited references from the available prior art.

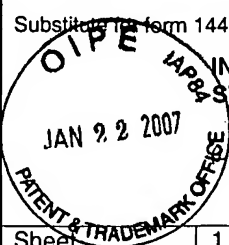
Applicants believe that no fee is due with this submission. However, the Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Account No. 13-0017 in the name of McAndrews, Held & Malloy, Ltd.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Troy A. Groetken", written over a horizontal line.

Troy A. Groetken, Esq.  
Registration No. 46,442  
Attorney for Applicant

Date: January 22, 2007  
MCANDREWS, HELD & MALLOY, LTD.  
500 West Madison Street, 34th Floor  
Chicago, Illinois 60661  
Telephone: (312) 775-8000  
Facsimile: (312) 775-8100

Substituted for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)		<b>Complete if Known</b> Application Number: 10/585,427 Filing Date: July 07, 2006 Intl. Filing Date: July 29, 2004 First Named Inventor: Galante, J. H. Group Art Unit: Not Known Examiner Name: NOT YET KNOWN Attorney Docket Number: 15409US02	
Sheet	1	of	1

U.S. PATENT DOCUMENTS					
Examiner Initial*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	A1	6,897,327	05-24-2005	Rongione, <i>et al.</i>	Abstract, Columns 1-16
	A2	6,420,577	07-16-2002	Reaney, <i>et al.</i>	Abstract, Columns 1-12, Figs. 1-5
	A3	6,361,980	03-26-2002	Sugiura, <i>et al.</i>	Abstract, Columns 1-12, Fig. 1
	A4	6,136,985	10-24-2000	J. R. Millis	Abstract, Columns 1-12
	A5	4,381,264	04-26-1983	A. Struve	Abstract, Columns 1-8

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	B1	WO 00/18944	04-06-2000	G. Haraldsson, <i>et al.</i>	Abstract, Pages 1-24; Figs. 1-2	
	B2	WO 99/32105	07-01-1999	J. Millis	Abstract, Pages 1-26	
	B3	WO 91/16443	10-31-1991	G. Haraldsson, <i>et al.</i>	Abstract, Pages 1-14	
	B4	GB 1,577,933	10-29-1980	M. Coleman, <i>et al.</i>	Pages 1-10	

OTHER ART -- NON PATENT LITERATURE DOCUMENTS		
Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published
	C1	Study of the Lipase-Catayzed Synthesis of Triolein", Biotechnology and Bioengineering, Volume 41, No. 11 (May 1993), pp. 1021-1026, John Wiley & Sons, Inc.
	C2	FRANCOISE ERGAN, <i>ET AL.</i> , "Effect of Lipase Specificity on Triglyceride Synthesis", Biotechnology Letters, Volume 13, No. 1 (1991), pp. 19-24.
	C3	F. ERGAN, <i>ET AL.</i> , "Production of Glycerides from Glycerol and Fatty Acid by Immobilized Lipases in Non-aqueous Media", Biotechnology and Bioengineering, Volume 35, (January 1990), pp. 195-200, John Wiley & Sons, Inc.
	C4	F. ERGAN, <i>ET AL.</i> , "Solvent Free Triglyceride Synthesis Using Lipozyme™ IM-20", Biotechnology Letters, Volume 10, No. 9, (1988) pp. 629-634.

EXAMINER SIGNATURE	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450 Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. Send TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1800-786-9199) and select option 2.

Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(use as many sheets as necessary)

## **Complete if Known**

Application Number	10/585,427
Filing Date	July 07, 2006
Intl. Filing Date	July 29, 2004
First Named Inventor	Galante, J. H.
Group Art Unit	Not Known
Examiner Name	NOT YET KNOWN
Attorney Docket Number	15409US02

1 of 1

## **U.S. PATENT DOCUMENTS**

Examiner Initial*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	A1	6,897,327	05-24-2005	Rongione, <i>et al.</i>	Abstract, Columns 1-16
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## **OTHER ART -- NON PATENT LITERATURE DOCUMENTS**

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**EXAMINER  
SIGNATURE**

**DATE CONSIDERED**

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard St. 16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

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